

P23561.P01

# UTILITY PATENT APPLICATION TRANSMITTAL

Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

P23561

Total Pages

Inventor(s) or Application Identifier  
Masami SHIRAI, Ken HIRUNUMA, Gouji FUNATSU, and  
Shuji YONEYAMA

Title: OBSERVATION OPTICAL DEVICE

ADDRESS TO:

Commissioner for Patents  
Mail Stop Patent Application  
PO Box 1450  
Alexandria, VA 22313-1450

## APPLICATION ELEMENTS

## ACCOMPANYING APPLICATION PARTS

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
  - a. ☐ Small Entity Statement(s)
3. ☒ Specification [Total Pages 47 ]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets 12 ]
5. ☒ Oath or Declaration [Total Pages 4 ]
  - a. ☒ Newly executed (original or copy) ☐ Unexecuted
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 20 completed)  
[Note Box 6 below]
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Incorporation By Reference (useable if Box 5b is checked)  
The entire disclosure of the prior application, from which a  
copy of the oath or declaration is supplied under Box 5b, is  
considered as being part of the disclosure of the accompanying  
application and is hereby incorporated by reference therein.
7. ☐ Application Data Sheet. See 37 CFR 1.76
8. ☐ CD-Rom or CD-R in duplicate, large table or Computer  
Program (Appendix)

9. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies
10. ☒ Assignment Papers (cover sheet & document(s))
11. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
12. ☐ English Translation Document (if applicable)
13. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
14. ☐ Preliminary Amendment
15. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
16. ☒ Figure of Drawing to be published: 3
17. ☒ Foreign priority claimed
  - a. ☒ Claim of Priority
  - b. ☒ Certified Copy of Priority Document(s)
18. ☒ Assignee: PENTAX Corporation, of Tokyo, Japan
19. ☐ Other: \_\_\_\_\_

20. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior Application No. \_\_\_\_\_ / \_\_\_\_\_, filed \_\_\_\_\_.  
Prior application information: Examiner: \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

21. ☐ Amend the specification by inserting before the first line the sentence:

This application is a \_\_\_ continuation-in-part, \_\_\_ continuation, \_\_\_ divisional, of Application No. \_\_\_\_\_ / \_\_\_\_\_, filed \_\_\_\_\_.

Address all future correspondence to **Customer No. 7055** at the present address of:

GREENBLUM &amp; BERNSTEIN, P.L.C.

1950 Roland Clarke Place  
Reston, VA 20191  
(703) 716-1191

7/16/03  
Date



07055

PATENT TRADEMARK OFFICE

*Bruce H. Bernstein*  
Signature  
33, 329

Bruce H. Bernstein, Reg. No. 29,027  
Typed or Printed Name

P23561.P01  <b>FEE TRANSMITTAL</b>		<b>Complete if Known</b>		
		Application Number	Not Yet Assigned	
		Filing Date	Concurrently Herewith	
		First Named Inventor	Masami SHIRAI et al.	
		Group Art Unit	Unassigned	
		Examiner Name	Unassigned	
<b>TOTAL AMOUNT OF PAYMENT</b>		(\$) <b>790.00</b>	Attorney Docket Number	P23561

  

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)																																																																																																																																																																																																																																																																																														
<p>1. <input checked="" type="checkbox"/> The U.S. Patent and Trademark Office is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number <u>19-0089</u></p> <p>Deposit Account Name <u>GREENBLUM &amp; BERNSTEIN, P.L.C.</u></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3))</p> <p><input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)</p> <p><input type="checkbox"/> Applicant Claims Small Entity Status See 37 CFR 1.27.</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed:  <input checked="" type="checkbox"/> Check    <input type="checkbox"/> Credit Card    <input type="checkbox"/> Money Order    <input type="checkbox"/> Other</p> <p style="text-align: center;"><b>FEE CALCULATION (fees effective 01/01/03)</b></p> <p><b>1. FILING FEE</b></p> <table style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>1001</td><td>750</td><td>2001</td><td>375</td><td>Utility filing fee</td><td><u>750.00</u></td></tr> <tr><td>1002</td><td>330</td><td>2002</td><td>165</td><td>Design filing fee</td><td>_____</td></tr> <tr><td>1003</td><td>520</td><td>2003</td><td>260</td><td>Plant filing fee</td><td>_____</td></tr> <tr><td>1004</td><td>750</td><td>2004</td><td>375</td><td>Reissue filing fee</td><td>_____</td></tr> <tr><td>1005</td><td>160</td><td>2005</td><td>80</td><td>Provisional filing fee</td><td>_____</td></tr> <tr><td colspan="5"><b>SUBTOTAL (1)</b></td><td><b>(\$)<u>750.00</u></b></td></tr> </tbody> </table> <p><b>2. 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1801	750	2801	375	Request for Continued Examination (RCE)	_____																																																																																																																																																																																																																																																																																										
1802	900	1802	900	Request for expedited examination of a design application	_____																																																																																																																																																																																																																																																																																										
Other fee (specify) _____					_____																																																																																																																																																																																																																																																																																										
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<b>SUBTOTAL (3)</b>					<b>(\$)<u>40.00</u></b>																																																																																																																																																																																																																																																																																										

  

<b>SUBMITTED BY</b>				<b>Complete (if applicable)</b>	
Typed or Printed Name		Bruce H. Bernstein		Reg. Number	
Signature				29,027	
		Date		7/16/03	
				Deposit Account User ID	

33,329